

NWFL/SEC/2026-27/247

October 21, 2026

BSE Limited

P. J. Towers, Dalal Street,
Fort, Mumbai – 400 001.

Dear Sir / Madam,

Sub: Submission of the Compliance Report on Corporate Governance under Regulation 62Q of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, (the Regulations) for the quarter and half year ended September 30, 2026

Dear Sir / Madam,

Please find enclosed the Compliance Report on Corporate Governance for the quarter and half year ended September 30, 2026, in the format prescribed under the Regulations.

Kindly take the same on record.

Thanking you,

For Nuvama Wealth Finance Limited

Pooja Jayesh Doshi
Digitally signed by
Pooja Jayesh Doshi
Date: 2026.10.21
11:48:41 +05'30'

Pooja Doshi
Company Secretary and Compliance Officer

Encl: as above

General information about company	
Scrip code	937093
NSE Symbol	
MSEI Symbol	
ISIN	INE918K07FV6
Name of the entity	Nuvama Wealth Finance Limited
Date of start of financial year	01-04-2026
Date of end of financial year	31-03-2027
Reporting Quarter	Half Yearly
Date of Report	30-09-2026
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory							Textual Information(1)	
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							No	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Birendra Kumar	ADBP6842J	00163054	Non-Executive - Independent Director	Chairperson		29-04-1942
2	Mr	Ashish Kehair	AFTPK7334K	07789972	Non-Executive - Non Independent Director	Not Applicable		14-01-1975
3	Mr	Nikhil Kumar Srivastava	BMMPS4092K	07308617	Non-Executive - Non Independent Director	Not Applicable		19-03-1978
4	Mr	Ramesh Abhishek	AAFPA5204R	07452293	Non-Executive - Non Independent Director	Not Applicable		03-07-1959
5	Mr	Kamlesh Vikamsey	AABPV3055F	00059620	Non-Executive - Independent Director	Not Applicable		06-12-1960
6	Mr	Tushar Agrawal	AIPPA7964C	08285408	Executive Director	Not Applicable		05-12-1984
7	Ms	Akshaya Mishra	AHJPA1146M	10118262	Non-Executive - Non Independent Director	Not Applicable		29-04-1979
8	Mr	Sankarson Banerjee	AAFPB1541G	07407346	Non-Executive - Independent Director	Not Applicable		06-02-1970

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active

I. Composition of Board of Directors

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	Yes	11-02-2022	21-01-2022			57	1	1	5	0			
2	NA		21-10-2021				1	0	2	1			
3	NA		26-03-2021				1	0	3	1			
4	NA		26-03-2021				3	3	7	2			
5	NA		01-08-2023			38	3	2	6	5			
6	NA		19-05-2022				0	0	0	0			
7	NA		01-02-2024				0	0	0	0			
8	NA		15-03-2024	29-01-2025		29.5	0	0	2	0			

Text Block	
Textual Information(1)	Please note that High Value Debt Listed Entities (having outstanding principal Non-convertible Debentures of Rs. 5000 crore or more) are included for the purpose of counting of Directorship in Listed Companies in Column Y and Z

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00059620	Kamlesh Vikamsey	Non-Executive - Independent Director	Chairperson	01-08-2023		
2	00163054	Birendra Kumar	Non-Executive - Independent Director	Member	21-01-2022		
3	07452293	Ramesh Abhishek	Non-Executive - Non Independent Director	Member	19-05-2021		
4	07407346	Sankarson Banerjee	Non-Executive - Independent Director	Member	13-03-2026		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00059620	Kamlesh Vikamsey	Non-Executive - Independent Director	Chairperson	01-08-2023		
2	00163054	Birendra Kumar	Non-Executive - Independent Director	Member	21-01-2022		
3	07308617	Nikhil Kumar Srivastava	Non-Executive - Non Independent Director	Member	19-05-2021		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07452293	Ramesh Abhishek	Non-Executive - Non Independent Director	Chairperson	19-12-2022		
2	07789972	Ashish Kehair	Non-Executive - Non Independent Director	Member	21-01-2022		
3	00163054	Birendra Kumar	Non-Executive - Independent Director	Member	01-02-2024		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07308617	Nikhil Kumar Srivastava	Non-Executive - Non Independent Director	Chairperson	21-01-2022		
2	08285408	Tushar Agrawal	Executive Director	Member	15-06-2021		
3	00163054	Birendra Kumar	Non-Executive - Independent Director	Member	21-01-2022		
4	00000000	Sarvottam Agrawal	Chief Risk Officer	Member	21-01-2026		Textual Information(1)
5	00000000	Gitanjali Made	Chief Financial Officer	Member	01-06-2025		Textual Information(2)

Sr Text Block	
Textual Information(1)	Sarvottam Agrawal - Chief Risk Officer is the member of the Committee
Textual Information(2)	Gitanjali Made - Chief Financial Officer is the member of the Committee

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00163054	Birendra Kumar	Non-Executive - Independent Director	Chairperson	20-04-2023		
2	07308617	Nikhil Kumar Srivastava	Non-Executive - Non Independent Director	Member	19-05-2021		
3	08285408	Tushar Agrawal	Executive Director	Member	19-12-2022		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	08-05-2026				Yes	8	7	3
2	20-05-2026		11		Yes	8	7	3
3		28-07-2026	68		Yes	8	7	3

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	30-04-2026				Yes	4	3	2	0
2	Audit Committee	08-05-2026	7			Yes	4	4	3	0
3	Audit Committee	20-05-2026	11			Yes	4	4	3	0
4	Audit Committee	28-07-2026	68			Yes	4	4	3	0
5	Risk Management Committee	05-05-2026				Yes	3	3	1	2
6	Risk Management Committee	27-07-2026	82			Yes	3	2	1	2

Annexure 1

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Corporate Social Responsibility Committee	04-05-2026				Yes	3	2	1	0
8	Nomination and remuneration committee	05-05-2026	0			Yes	3	3	2	0
9	Nomination and remuneration committee	16-07-2026	71			Yes	3	3	2	0

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Pooja Doshi
2	Designation	Company Secretary and Compliance Officer

Annexure III

III. Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	No	In absence of Mr. Ramesh Abhishek, Chairperson of Stakeholders Relationship Committee ("SRC"), due to other professional commitments, Mr. Birendra Kumar was authorised by Mr. Ramesh Abhishek to represent the SRC.
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
7	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
6				

Annexure III		
1	Name of signatory	Pooja Doshi
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure			
Applicability of disclosure		Applicable	
Reason for Non Applicability		Textual Information(1)	
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below			
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	3113245971.5	598442.46	
Promoter Group or any other entity controlled by them	0	0	
Directors (including relatives) or any other entity controlled by them	4400000	300521	
KMPs or any other entity controlled by them	46050000	15267855	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
(D) Additional Information			Textual Information(2)
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.		Yes	Textual Information(3)
Name	Gitanjali Made		
Designation	CFO		
Place	Mumbai		
Date	21-10-2026		

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Signatory Details	
Name of signatory	Pooja Doshi
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	21-10-2026

